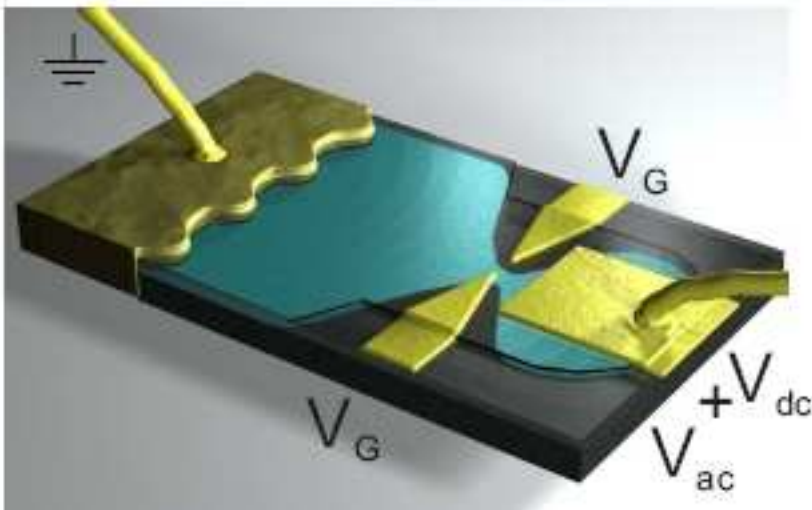




# Lecture 1

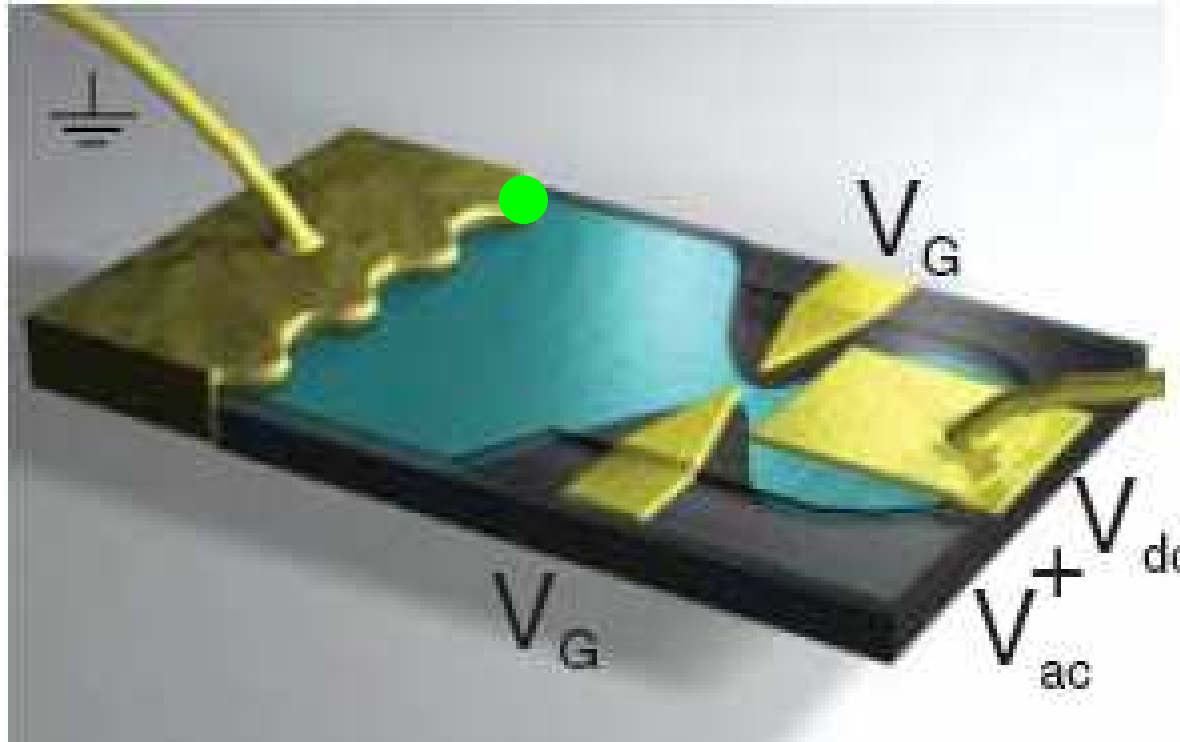
## Dynamics of mesoscopic capacitors



**Markus Buttiker**  
University of Geneva

IV-th Windsor Summer School on Condensed Matter Theory,  
Cumberland Lodge, Windsor Royal Park, Windsor, UK, 06 -19 August, 2007.

# Mesoscopic Capacitor



## Quantized charge relaxation resistance:

J. Gabelli, G. Fève, J.-M. Berroir, B. Plaçais, A. Cavanna, B. Etienne, Y. Jin, D.C. Glattli, SCIENCE 313, 499 (2006)

## Quantized charge emission:

G.Fève, A. Mahé, J.-M. Berroir, T. Kottos, B. Plaçais, D. C., Glattli, A. Cavanna, B. Etienne, Y. Jin, SCIENCE 316, 1169 (2007)

# Outline

## Quantized charge relaxation resistance

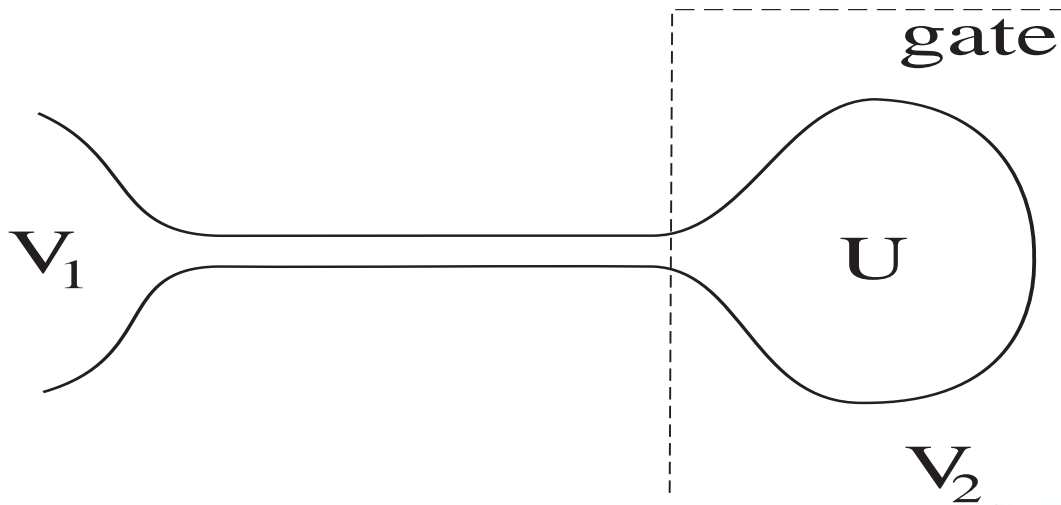
- Scattering theory of mesoscopic capacitance
- The experiment
- Quantized charge relaxation resistance
- Role of coherence, quantum to classical crossover
- Role of interaction

## Quantized charge emitter

- The experiment
- A Floquet (scattering) theory of non-linear response
- Accuracy of current quantization
- Noise of the emitter

# The mesoscopic capacitor

Buttiker, Thomas, Prêtre, Phys. Lett. A 180, 364 (1993)

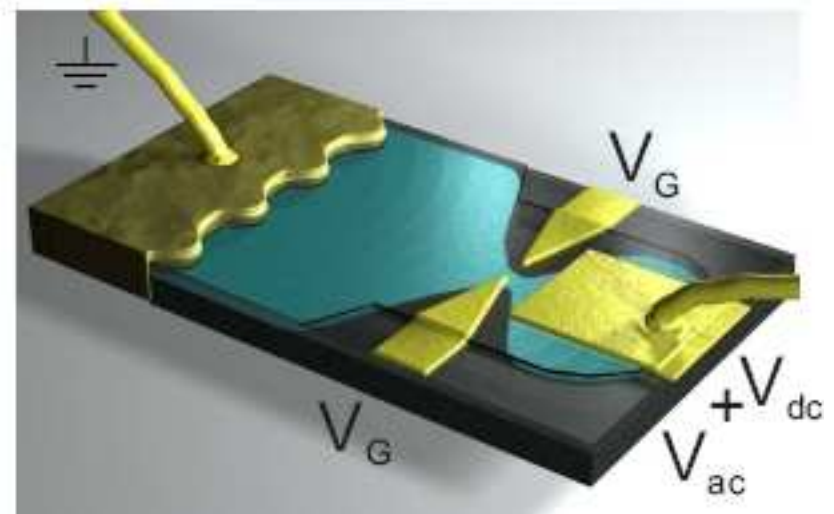


single potential  $U$   
geometrical capacitance  $C$

**What is the RC-time?**

$C = ?$       $R = ?$

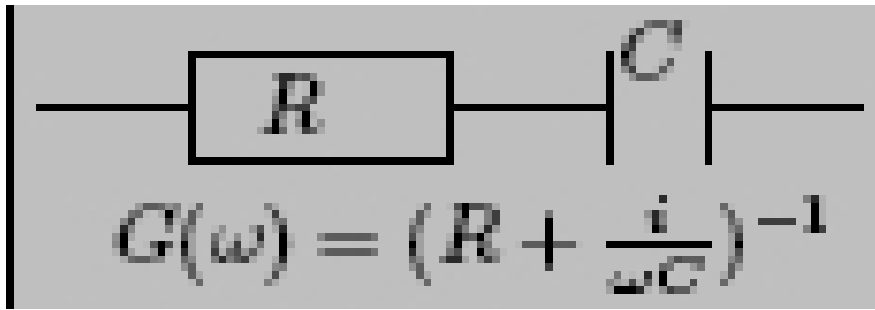
Gabelli, Fève, Berroir, Plaças,  
Cavanna, Etienne, Jin, Glattli,  
Science 313, 499 (2006).



# Classical versus quantum charge relaxation

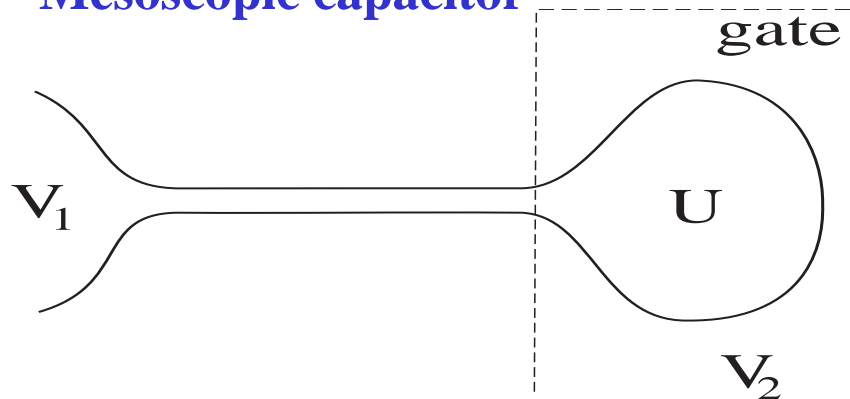
8

## Classical circuit



$$G(\omega) = -i\omega C + \omega^2 C^2 R + ..$$

## Mesoscopic capacitor



$$G(\omega) = -i\omega C_\mu + \omega^2 C_\mu^2 R_q + ..$$

For a single, spin-polarized channel  $R_q = \frac{h}{2e^2}$  is **universal !!**

Buttiker, Thomas, Pretre, Phys. Lett. A 180, 364 (1993)

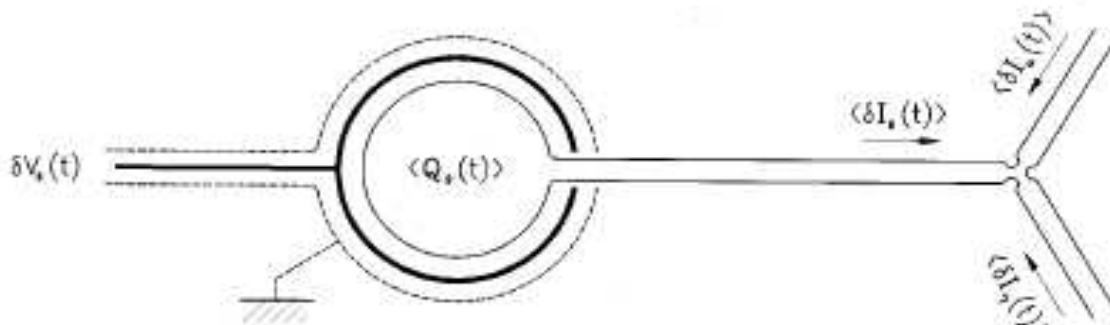
# Dynamic potentials

Buttiker, Pretre, Thomas, Phys. Rev. Lett. 70, 4114 (1993)

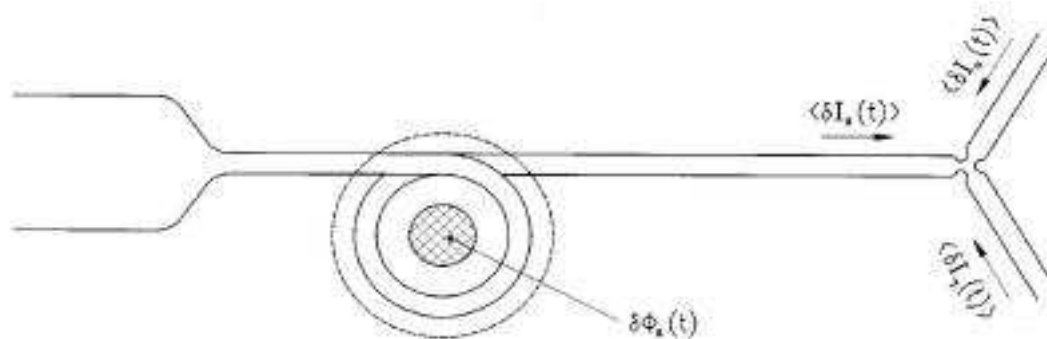
Linear response to oscillating voltages

Distinguish:

potentials applied to terminals  $dV_\alpha(t) = dV_\alpha(\omega)e^{-i\omega t}$   
 self-consistent electrostatic potential  $dU(\omega, \mathbf{r})e^{-i\omega t}$



$$H_I = \sum_{\alpha} Q_{\alpha} dV_{\alpha}$$

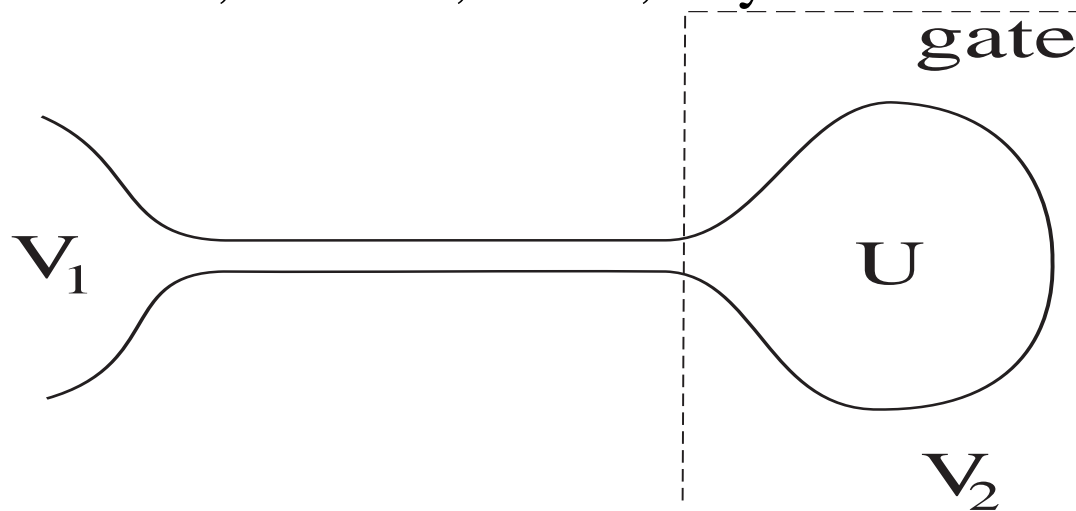


$$H_I = \sum_{\alpha} I_{\alpha} d\Phi_{\alpha}$$

# Dynamic external and internal response

10

Buttiker, Thomas, Pretre, Phys. Lett. A 180, 364 (1993)



single potential  $U$

geometrical capacitance  $C$

$$\hat{a}_{out} = s \hat{a}_{in}$$

External response

$$G^{ext}(\omega) = \frac{e^2}{h} \int dE \text{Tr} [1 - s^\dagger(E) s(E + \hbar\omega)] \frac{f(E) - f(E + \hbar\omega)}{\hbar\omega}$$

Internal response

$$G^{ext}(\omega) dV_1 + i\omega \Pi(\omega) dU = -i\omega C (dU - dV_2)$$

Invariance under arbitrary potential shift  $\Rightarrow i\omega \Pi = -G^{ext}$

$$G^{-1}(\omega) = (-i\omega C)^{-1} + (G^{ext}(\omega))^{-1}$$

# Capacitance and Charge Relaxation

Buttiker, Thomas, Pretre, Phys. Lett. A180, 364 (1993)

$$G(\omega) = -i\omega C_\mu + \omega^2 C_\mu^2 R_q + ..$$

charge relaxation resistance

electrochemical capacitance

$$C_\mu^{-1} = C^{-1} + (e^2 \text{Tr}[N])^{-1} \quad R_q = \frac{h}{2e^2} \frac{\text{Tr}[N^\dagger N]}{(\text{Tr}[N])^2}$$

Eigen channels of  $s$ ;  $\exp(i\phi_n)$ ;  $n = 1, 2, \dots$   $\Rightarrow$

$$\text{Tr}[N] = \frac{1}{2\pi i} \text{Tr}\left[s^\dagger \frac{ds}{dE}\right] = \frac{1}{2\pi} \sum_n \frac{d\phi_n}{dE}$$

$$\text{Tr}[N^\dagger N] = \left(\frac{1}{2\pi}\right)^2 \text{Tr}\left[\frac{ds^\dagger}{dE} \frac{ds}{dE}\right] = \left(\frac{1}{2\pi}\right)^2 \sum_n \left(\frac{d\phi_n}{dE}\right)^2$$

$$R_q = \frac{h}{2e^2} \frac{\sum_n (d\phi_n/dE)^2}{(\sum_n d\phi_n/dE)^2}$$

Universal for  $n = 1$ ;

$$R_q = \frac{h}{2e^2}$$



# Quantized charge relaxation resistances

**Universal for  $n = 1$ ;**

$$R_q = \frac{h}{2e^2}$$

For  $k$  degenerate channels

$$R_q = \frac{h}{2e^2} \frac{k}{k^2} = \frac{h}{2ke^2}$$

Spin less electrons

$$R_q = h/2e^2$$

Spin degenerate channel

$$R_q = h/4e^2$$

Ideally coupled Carbon Nanotube

$$R_q = h/16e^2$$

Chaotic cavity coupled to two QPC (N channel)

$$R_q = \frac{h}{e^2} \frac{1}{N_1 + N_2}$$

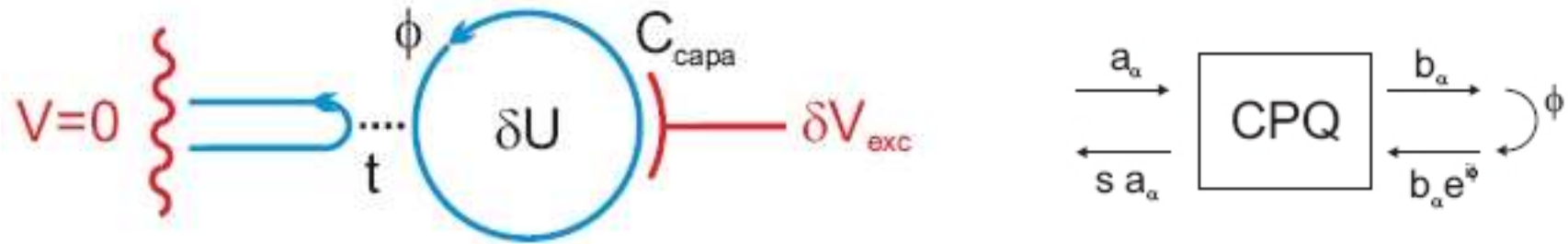
**Brouwer and M. B., Europhys. Lett. 37, 441 (1997).**

Chaotic cavity coupled to two QPC (one channel)  $P(R_q)$

**Pedersen, van Langen, M. B., Phys. Rev. B 57, 1838 (1998).**

# Experimentalists model

Gabelli (thesis), Gabelli et al, Science 313, 499 (2006)



$$\begin{pmatrix} sa \\ b \end{pmatrix} = \begin{pmatrix} r & -t \\ t & r \end{pmatrix} \begin{pmatrix} a \\ \exp(i\phi)b \end{pmatrix} \Rightarrow s(\epsilon) = -e^{-i\phi} \frac{r - e^{i\phi}}{r - e^{-i\phi}}$$

**density of states**

$$N = \frac{1}{2\pi i} s^\dagger \frac{ds}{d\epsilon} = \frac{1}{2\pi i} s^\dagger \frac{ds}{d\phi} \frac{d\phi}{d\epsilon} = \frac{1}{2\pi} \frac{d\phi}{d\epsilon} \frac{1 - r^2}{1 - 2r\cos(\phi) + r^2}$$

**assumption 1: uniform level spacing**

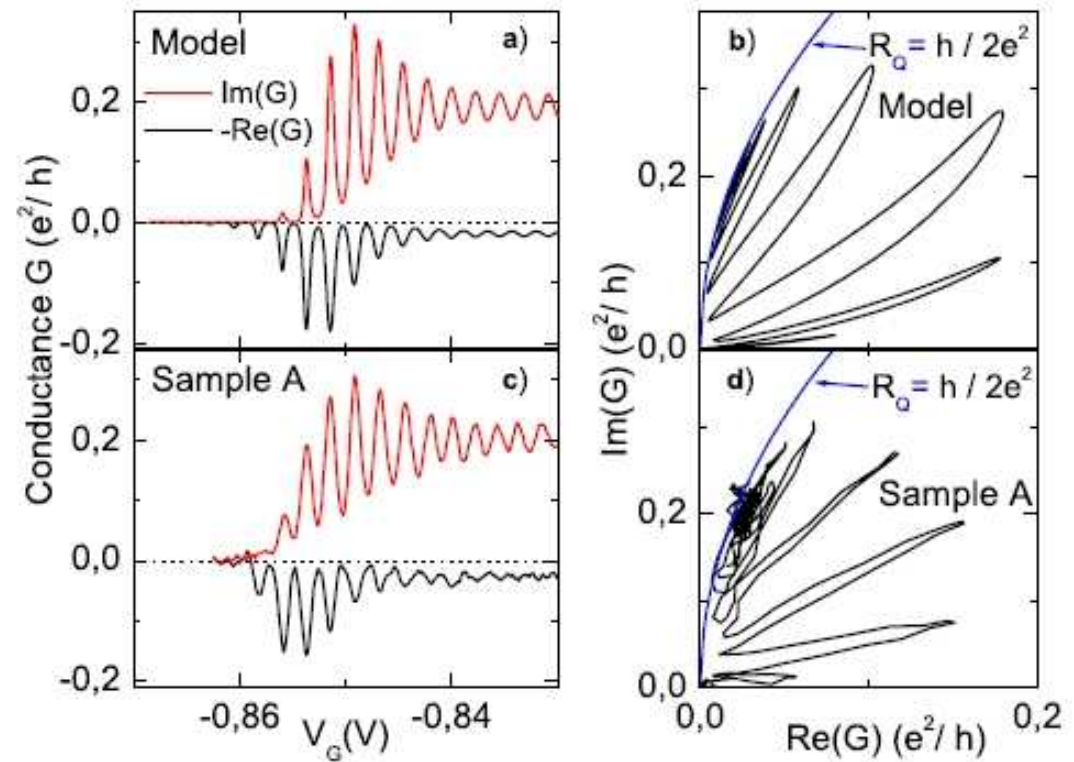
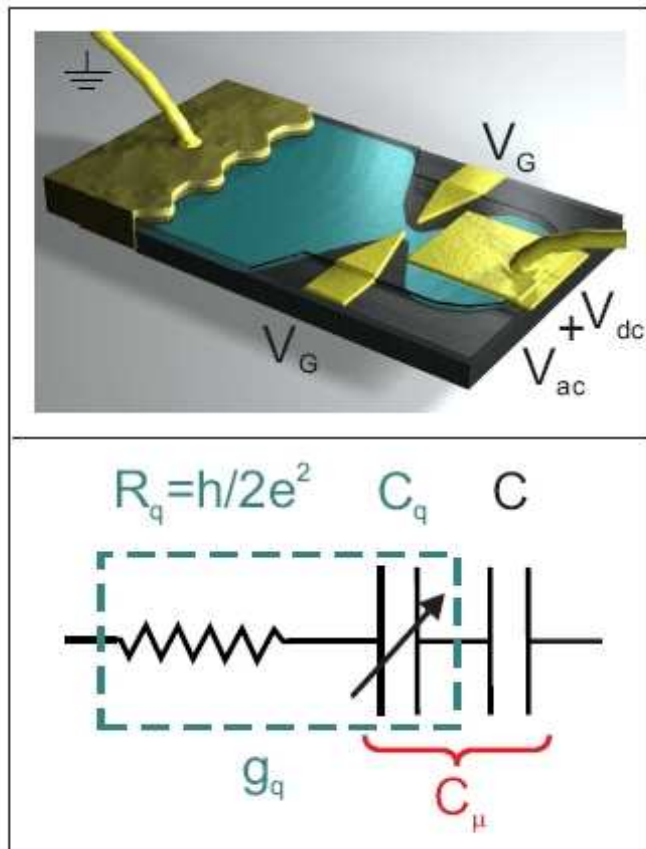
$$\phi = 2\pi\epsilon/\Delta$$

**assumption 2: voltage dependence of transmission through QPC**

$$t^2 = 1 / \left( 1 + \exp(-(V_{QPC} - V_0)/\Delta V_0) \right)$$

# Mesoscopic Capacitor: Experiment

Gabelli, Feve, Berroir, Placais, Cavanna, Etienne, Jin, Glattli  
 Science 313, 499 (2006).



$$\nu = 1.2 \text{GHz} \quad T = 100 \text{mK} \quad C = 4 \text{fF} \quad C_\mu = 1 \text{fF} \quad B = 1.3 \text{T}$$

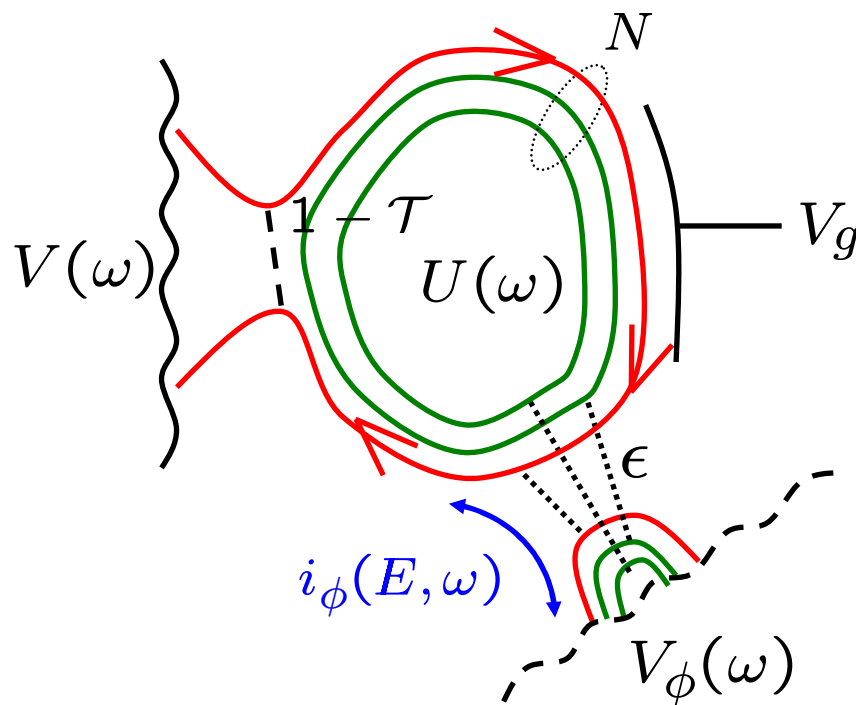
# Role of coherence

S. E. Nigg and M. Buttiker, (unpublished).

High-temperature limit

$$\frac{h}{2e^2} \xleftarrow{k_B T=0} R_q = \frac{h}{2e^2} \frac{\int dE (-f'(E)) \nu(E)^2}{(\int dE (-f'(E)) \nu(E))^2} \xrightarrow{k_B T \gg \Delta} \underbrace{\frac{h}{e^2} \frac{1-\mathcal{T}}{\mathcal{T}}}_{R_s} + \underbrace{\frac{h}{2e^2}}_{R_c}$$

Dephasing and Inelastic scattering



$U(\omega)$  Potential inside the cavity

**Two dephasing models:**

1) Voltage probe **VP** (dissipative)

$$I_\phi(\omega) = \int dE i_\phi(E, \omega) = 0, \quad \forall \omega$$

2) Dephasing probe **DP** (energy conserving)

$$i_\phi(E, \omega) = 0, \quad \forall E, \omega$$

# Role of Coherence

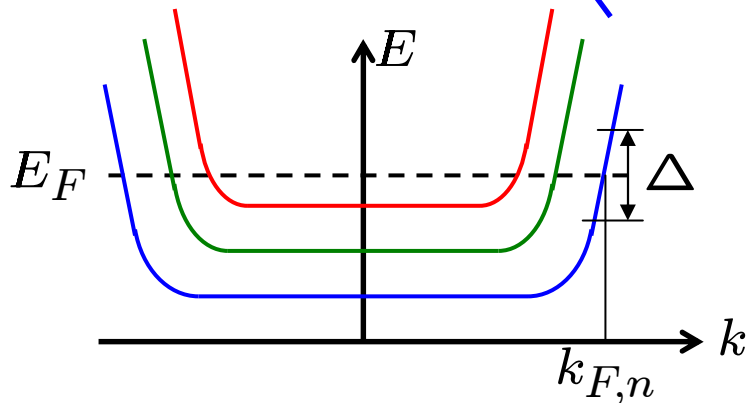
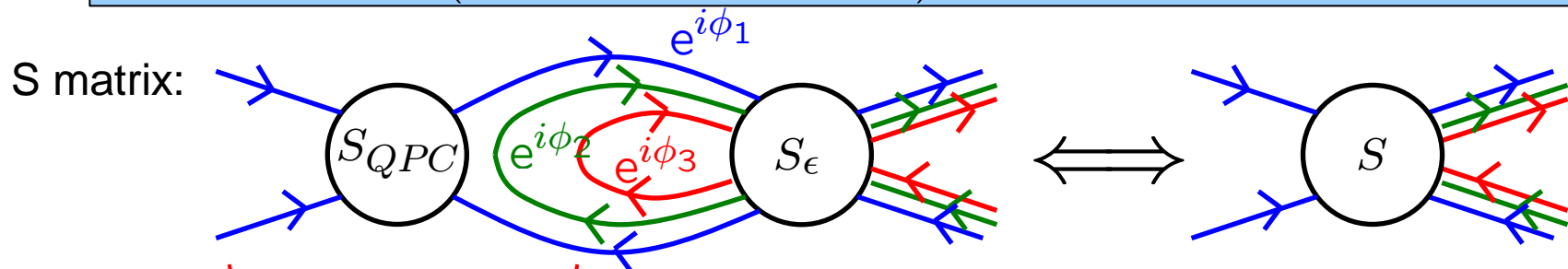
S. E. Nigg and M. B. (unpublished).

Spectral current into probe  $\alpha$  : 
$$i_{\alpha}(E, \omega) = \sum_{\beta} g_{\alpha\beta}(E, \omega)(V_{\beta}(\omega) - U(\omega))$$

Determined from current conservation requirement:  $I_1(\omega) = -i\omega CU(\omega)$

Spectral conductance:

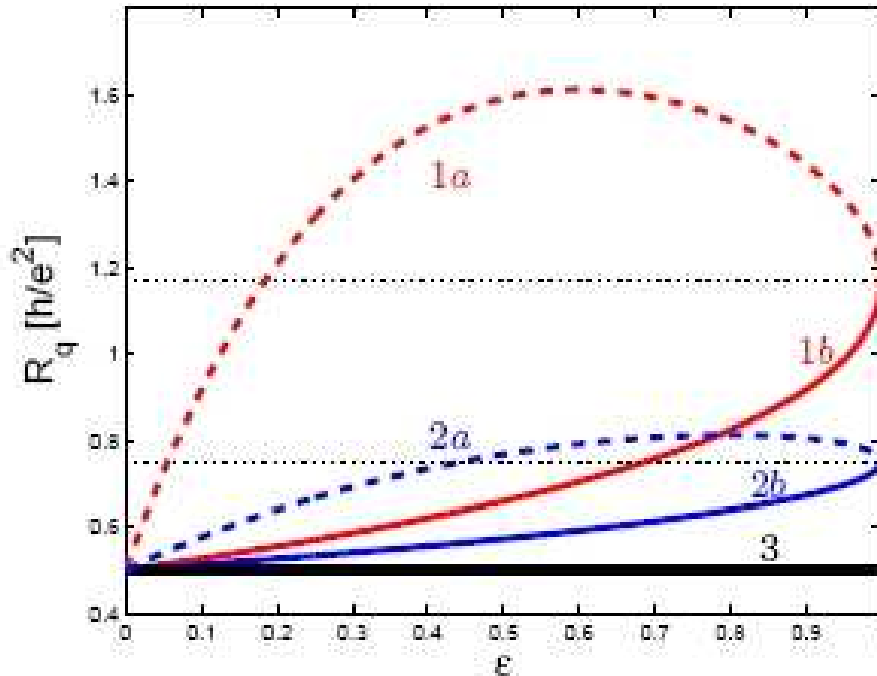
$$g_{\alpha\beta}(E, \omega) = \frac{e^2}{h} \left( \frac{f_{\beta}(E) - f_{\beta}(E + \hbar\omega)}{\hbar\omega} \right) \text{tr}[\mathbf{1}_{\alpha}\delta_{\alpha\beta} - S_{\alpha\beta}^{\dagger}(E)S_{\alpha\beta}(E + \hbar\omega)]$$



$$E_F \gg \Delta \Rightarrow \phi_n(E) = \frac{2\pi E}{\Delta} + \text{const}$$

# Role of coherence

S. E. Nigg and M. B. (unpublished).



Single channel voltage probe

$$N_\phi = 1$$

Coupling strength  $\epsilon$

$$\epsilon = 1 - \exp(-h/\Delta\tau_\phi)$$

QPC Transmission probability:

$$\mathcal{T} = 0.6, 0.8, 1,$$

----- off-resonant

\_\_\_\_\_ resonant

$$\lim_{\epsilon \rightarrow 0} R_q = \frac{h}{2e^2}$$

$$\lim_{\epsilon \rightarrow 1} R_q = \frac{h}{e^2} \left( \frac{1}{\mathcal{T}} - \frac{1}{2} \right)$$

QD not a reservoir

Many channel voltage probe

$$\lim_{\epsilon \rightarrow 0} R_q = \frac{h}{2e^2}$$

$$\lim_{\epsilon \rightarrow 1} R_q = \frac{h}{e^2} \left( \frac{1}{\mathcal{T}} - \frac{1}{2N_\phi} \right)$$

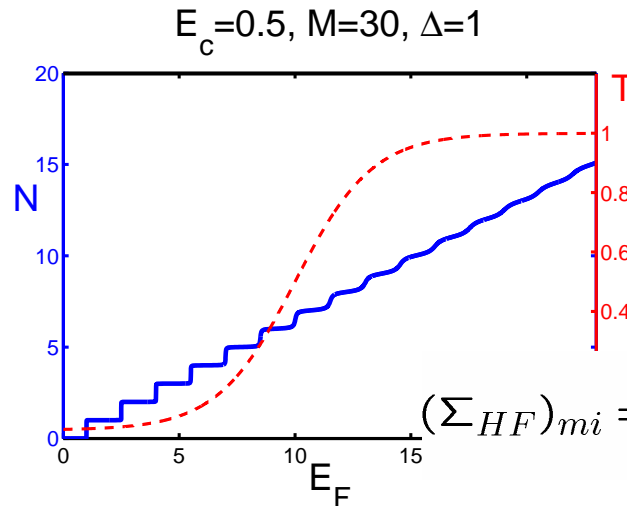
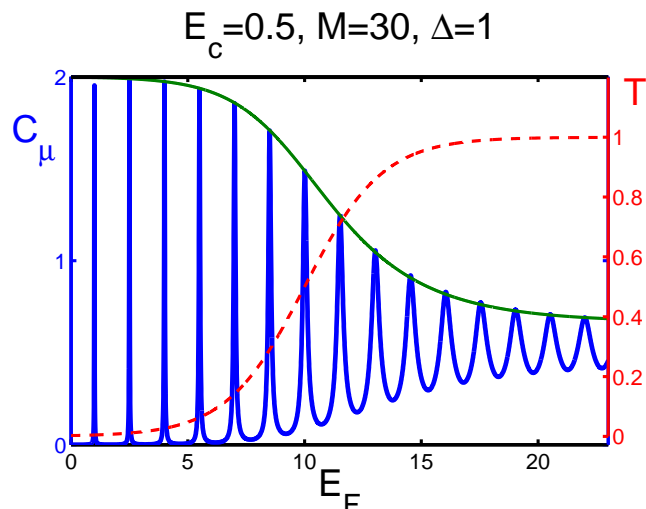
# Role of charge quantization

M. Buttiker and S. E. Nigg, Nanotechnology 18, 044029 (2007)

Flensberg 1993

[S. E. Nigg, R. Lopez and M. Buttiker, PRL 97, 206804 (2006)]

Matveev 1995

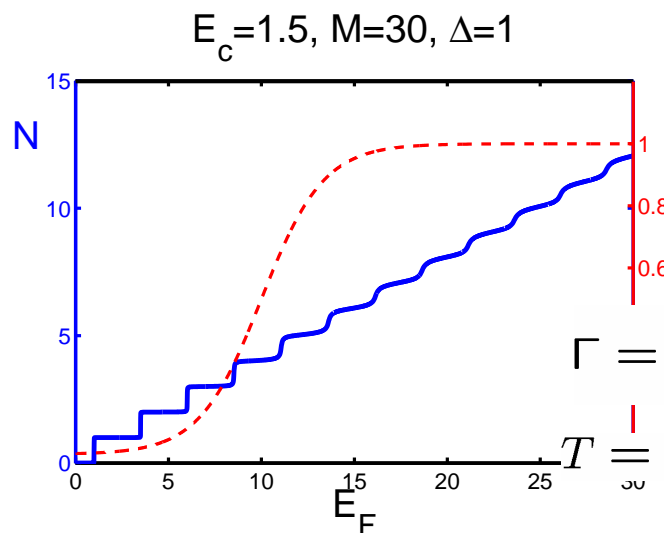
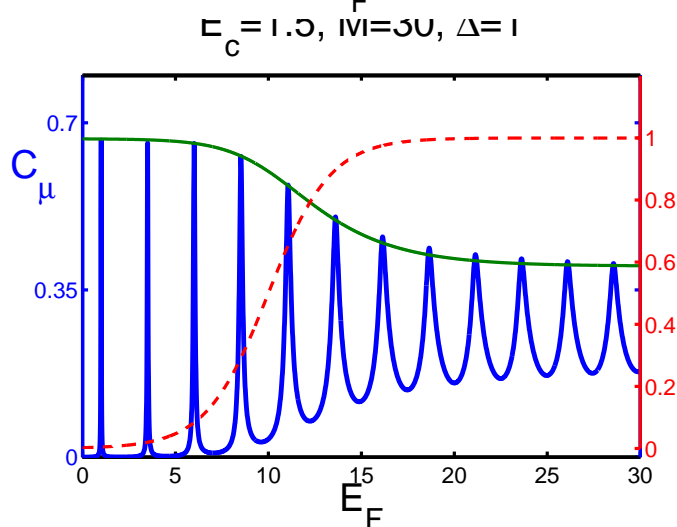


$$S(E) = \frac{1 + iK(E)}{1 - iK(E)}$$

$$K(E) = \sum_{\lambda} \frac{\Gamma_{\lambda}}{E_{\lambda} - E}$$

$$(\Sigma_{HF})_{mi} = E_c \left[ \delta_{mi} \sum_l \langle n_l \rangle - \langle d_i^{\dagger} d_m \rangle \right]$$

$$E_c = e^2 / C$$



$$E_{\lambda} = \epsilon_{\lambda} + E_c \sum_{\mu \neq \lambda} \langle n_{\mu} \rangle$$

$$\Gamma_{\lambda} = \pi W_{\lambda} W_{\lambda}^*$$

$$\Gamma = \frac{\Delta}{\pi T} (2 - T - 2\sqrt{1 - T})$$

$$T = \frac{1}{1 + \exp(a(E_F - E_0))}$$

# Role of Interactions

S. E. Nigg, R. Lopez and M. Buttiker, PRL 97, 206804 (2006)

## Hartree-Fock

$$G^{ext}(\omega) = \frac{-i\omega}{2\pi} \int dE \frac{f(E + \omega) - f(E)}{\omega} \text{tr}[G^R(E + \omega)\Gamma G^A(E)].$$

$$kT = 0 \quad \Rightarrow$$

$$\nu_\sigma(E) = \frac{1}{2\pi} \text{Tr}[D_\sigma(E)] ; \quad D_\sigma(E) = [G^R(E)\Gamma G^A(E)].$$

---

$$R_q = \frac{h}{2e^2} \frac{\sum_\sigma \nu_\sigma^2(E)}{(\sum_\sigma \nu_\sigma(E))^2}$$

---

For polarized spin channel  $R_q = \frac{h}{2e^2}$   
for “arbitrary” interactions!!

$$S(E) = 1 - i \text{tr}[\Gamma G^R(E)]$$

$$\text{tr}(\Gamma A \Gamma B) = \text{tr}(\Gamma A) \text{tr}(\Gamma B)$$

$$G^R \Gamma G^A = i(G^R - G^A),$$

$$1/(2\pi i) S^t dS/dE = 1/2\pi \text{tr}[G^R \Gamma G^A]$$



**From:** ofer capacitors-Elecsound  
**Date:** dimanche, 29. juillet 2007 14:41  
**To:** Markus.Buttiker@physics.unige.ch  
**Subject:** offer capacitors

**Hello, dear valued customer,**

**I am Jasmine from Elecsound. today I really want to recommend our capacitors to you. Elecsound is very strong in **ta film capacitors and ceramic capacitors**. all of our products are Lead free, good quality. fast lead time. If you need or and contact with us. tks**

### **Tantalum capacitors**

CA42 Dipped Tantalum capacitors

CA45 SMD Tantalum Capacitors



### **Ceramic capacitors**

Disc ceramic capacitors low voltage

Disc ceramic capacitor high voltage

AC Safe Ceramic Capacitor Y1 and Y2

Radial Multilayer ceramic capacitors

Chip Multilayer ceramic capacitors 50V

Chip Multilayer ceramic capacitors-high voltage

Axial Multilayer ceramic capacitors

5mm ceramic trimmer capacitors

6mm ceramic trimmer capacitors

### **Film capacitors**

CL20 METALLIZED POLYESTER FILM CAPACITOR-AXIAL

CL21 Metallized polyester film capacitor

CL23 Mini-Box metallized polyester film capacitor

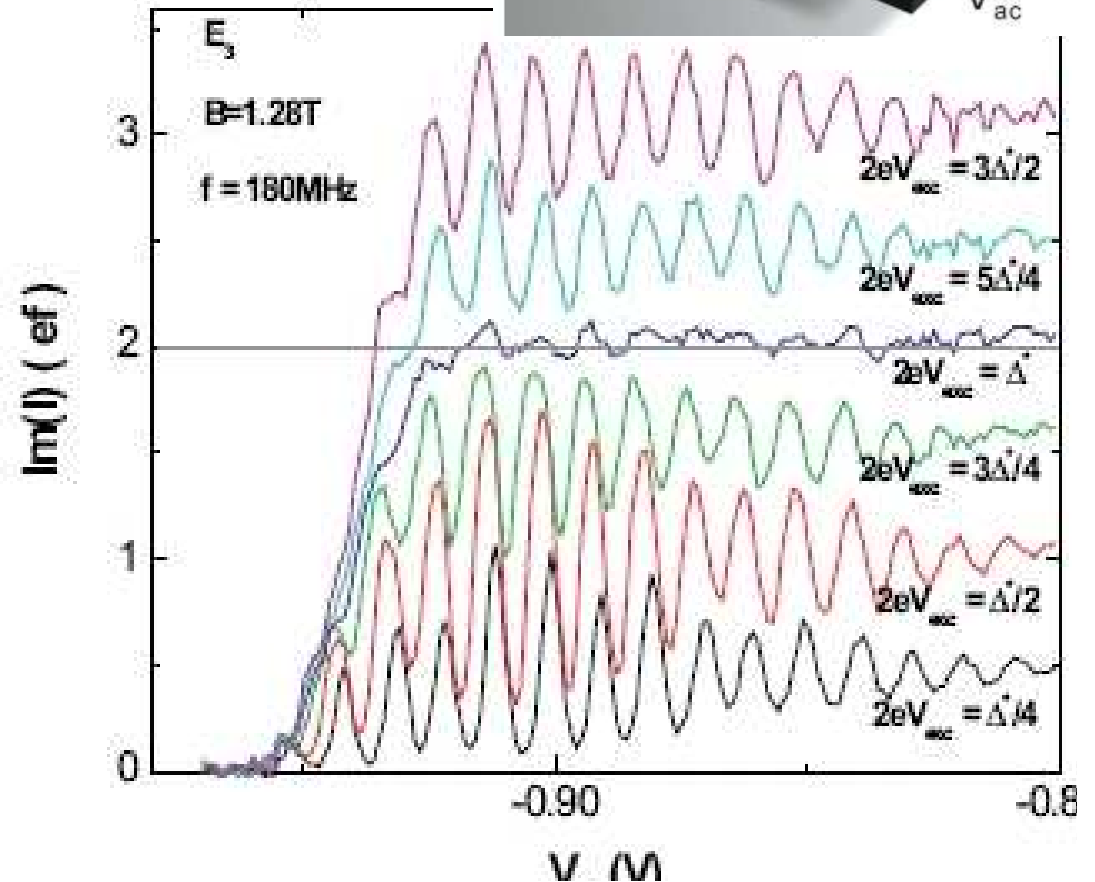
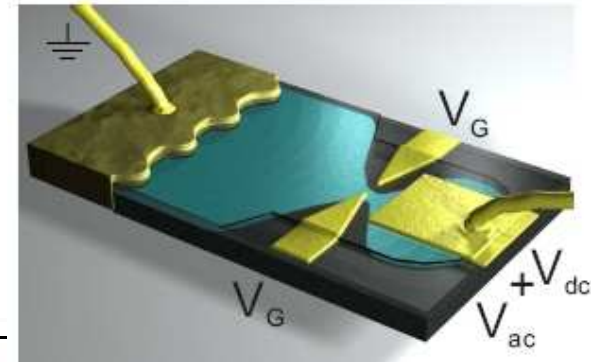
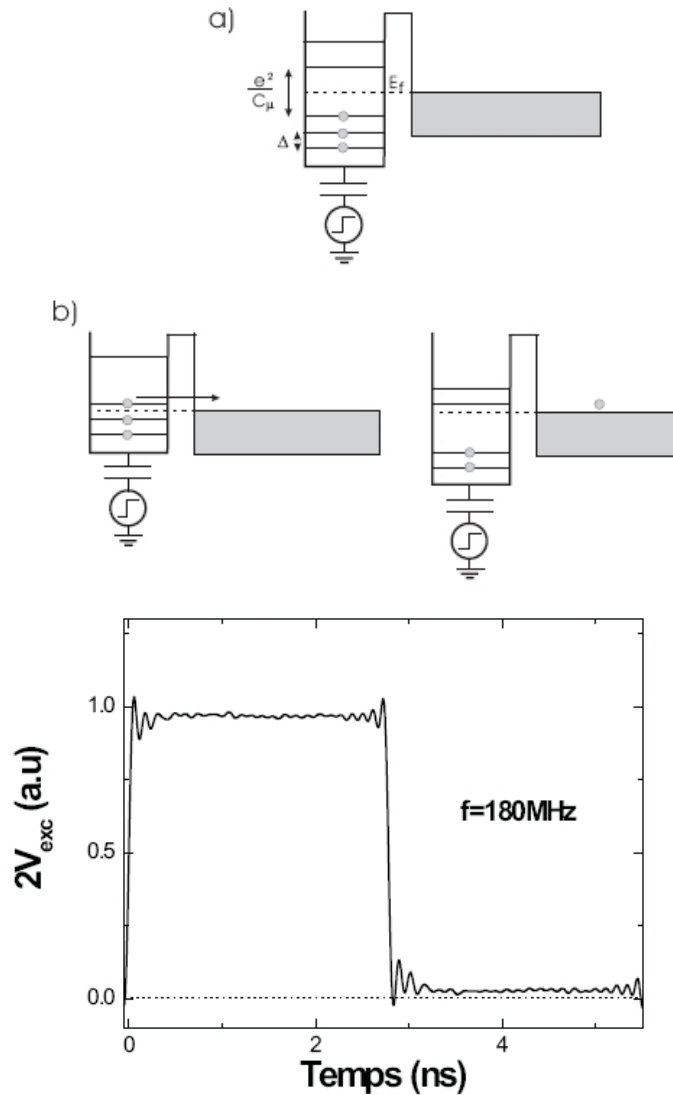
CBB11 Polypropylene film capacitor

CBB20 Axial Metallized polypropylene film capacitor

CBB21 Metallized polypropylene film capacitor

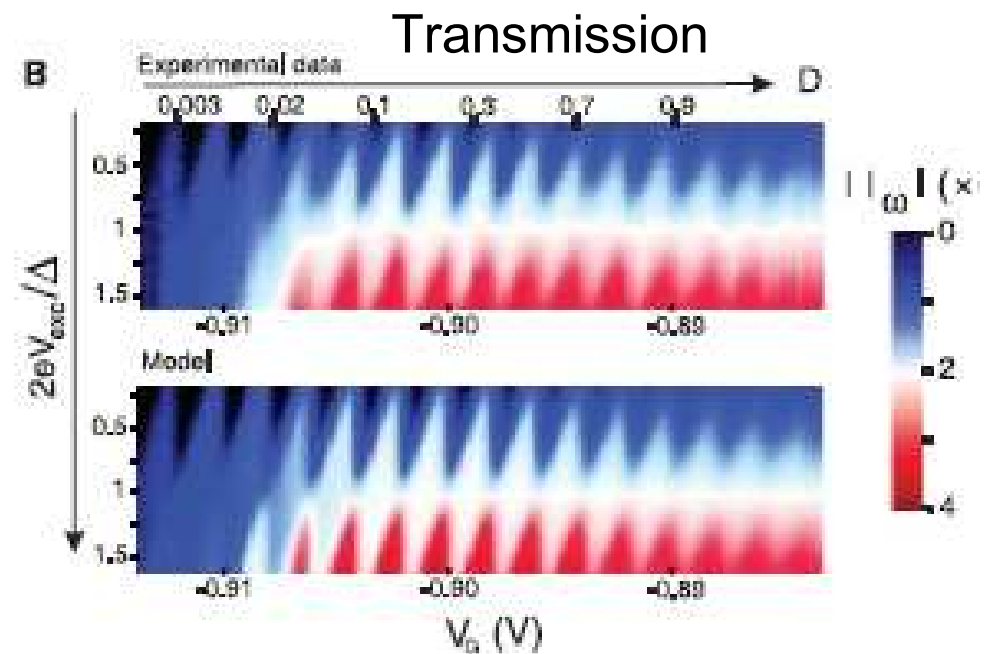
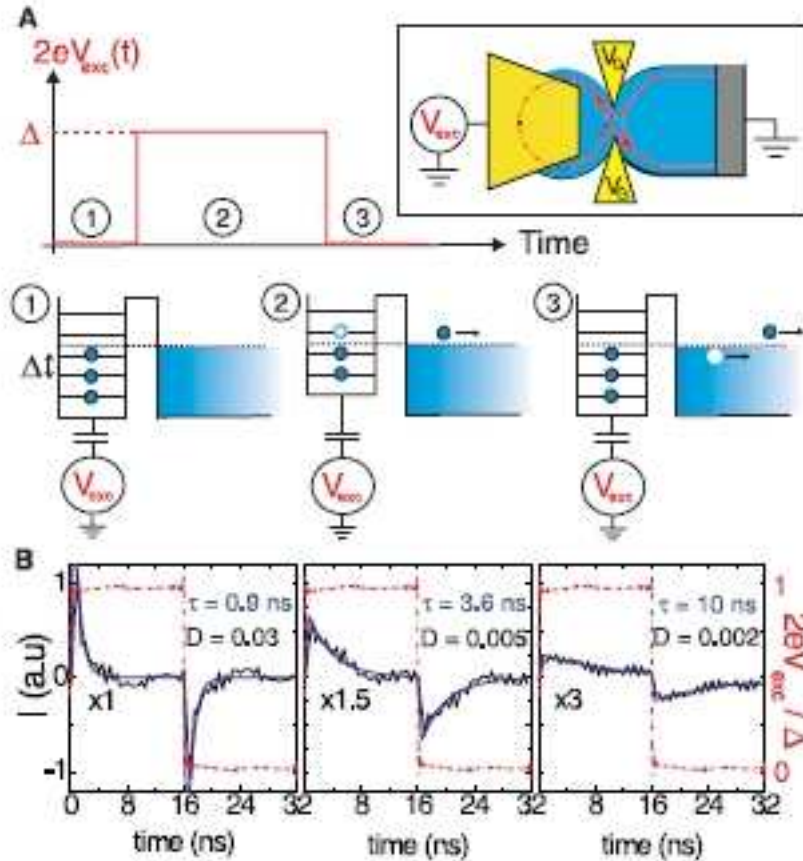
# Quantized dynamic charge emission

G.Fève, A. Mahé, J.-M.Berroir, T. Kottos, B.Plaçais, D. C., Glattli, A. Cavanna, B.Etienne, Y.Jin, SCIENCE 316, 1169 (2007)



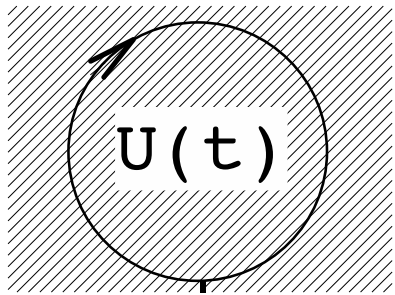
# Quantized charge emission

G.Fève, A. Mahé, J.-M.Berroir, T. Kottos, B.Plaçais, D. C., Glattli, A. Cavanna, B.Etienne, Y.Jin, SCIENCE 316, 1169 (2007)



# Floquet scattering theory of non-linear response

Moskalets, Samuelsson and M. B. cond-mat/0707.1927



$$U(t) = U(t + \mathcal{T})$$

$$\Phi_q(t) = \frac{e}{\hbar} \int_{t-q\tau}^t dt' U(t')$$

$$\varphi(E) = \varphi(\mu) + \tau \hbar^{-1} (E - \mu)$$

$$S_{in}(t, E) = r + \tilde{t}^2 \sum_{q=1}^{\infty} r^{q-1} e^{i\{q\varphi(E) - \Phi_q(t)\}}$$

$$S_F(E_n, E) = \int_0^{\mathcal{T}} \frac{dt}{\mathcal{T}} e^{in\Omega t} S_{in}(t, E)$$

M. Moskalets and M. B.,  
Phys. Rev. B 75, 035315 (2007)

$$I(t) = I^{(l)}(t) + I^{(nl)}(t)$$

$$I^{(l)}(t) = \frac{e^2}{h} T^2 \sum_{q=1}^{\infty} R^{q-1} \{U(t) - U(t - q\tau)\}$$

$$I^{(nl)}(t) = \frac{e}{\pi\tau} T^2 \Im \left\{ \sum_{p=1}^{\infty} \eta \left( p \frac{\theta}{\theta^*} \right) \frac{\{r e^{i\varphi(\mu)}\}^p}{p} \sum_{q=1}^{\infty} R^{q-1} \left( e^{-i\Phi_p(t-q\tau)} - e^{-i\Phi_p(t)} \right) \right\}$$

# Low frequency non-linear response

$$\Omega\tau \ll 1, \quad \Omega = 2\pi/\mathcal{T}$$

Instantaneous density of states (frozen density of states)

$$\nu(t, E) = \nu_0(E - eU(t)), \quad \nu_0(E) = 1/(2\pi i) S_0^*(E) \partial S_0 / \partial E \quad \Rightarrow$$

$$I(t) = I_c(t) + I_d(t)$$

$$I_c(t) = e^2 \int dE \left( -\frac{\partial f_0}{\partial E} \right) \nu(t, E) \frac{\partial U}{\partial t}$$

$$I_d(t) = -e^2 \int dE \left( -\frac{\partial f_0}{\partial E} \right) \frac{h}{2} \frac{\partial \left[ \nu^2(t, E) \frac{\partial U}{\partial t} \right]}{\partial t}$$

Differential capacitance and charge relaxation resistance

$$C_\partial = \partial Q / \partial U_C \quad R_\partial = \partial U_R / \partial I \quad U_R = U - U_C$$

$$C_\partial(t) = e^2 \int dE \left( -\frac{\partial f_0}{\partial E} \right) \nu(t, E)$$

$$R_\partial(t) = \frac{h}{2e^2} \frac{\int dE \left( -\frac{\partial f_0}{\partial E} \right) \frac{\partial}{\partial t} \left( \nu^2(t, E) \frac{\partial U}{\partial t} \right)}{\int dE \left( -\frac{\partial f_0}{\partial E} \right) \nu(t, E) \int dE \left( -\frac{\partial f_0}{\partial E} \right) \frac{\partial}{\partial t} \left( \nu(t, E) \frac{\partial U}{\partial t} \right)}$$

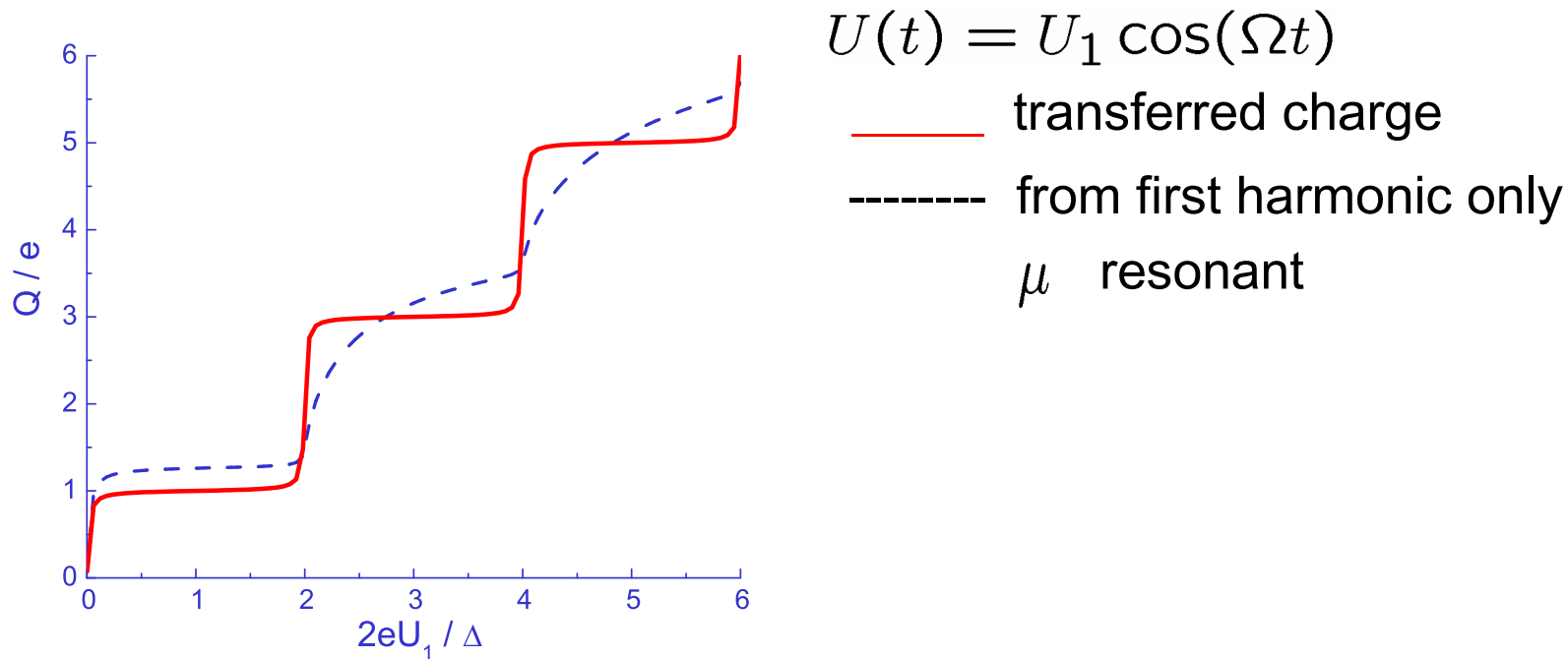
# Quantized charge emission

Slow driving

$$Q = \int_t^{T/2+t} dt I(t) \Rightarrow$$

$$Q = Q_d(U_{min}) - Q_d(U_{max}) + \mathcal{O}(\Omega\tau),$$

$$T \rightarrow 0, k_B T \rightarrow 0 \quad Q = en \quad \text{for} \quad e\delta U = eU_{max} - eU_{min} = n\Delta$$



# Accuracy of quantization

$$\delta Q = Q - en, \quad eu = e\delta U - n\Delta > 0$$

Finite temperature

$$\delta Q = 2\left(\frac{eu}{k_B\theta}\right) \exp\left(-\frac{\Delta}{2k_B\theta}\right), \quad eu \rightarrow 0$$

$$\delta Q = -2\frac{\Delta - eu}{k_B\theta} \exp\left(-\frac{\Delta}{2k_B\theta}\right), \quad eu \rightarrow \Delta$$

Finite transmission

$$\delta Q = \frac{Teu}{\pi^2\Delta}, \quad eu \rightarrow 0$$

$$\delta Q = -\frac{T(\Delta - eu)}{\pi^2\Delta}, \quad eu \rightarrow \Delta$$

optimization

$$\Omega\tau \ll T$$

# Square pulse of duration $\mathcal{T}$

Fève et al, Science 2007 for  $k_B T \gg \Delta$  or  $e\delta U = n\Delta$

$$I(t) = q/\tilde{\tau} e^{-(t-t_0)/\tilde{\tau}}, \quad q = e^2 \delta U / \Delta, \quad \tilde{\tau} = (h/\Delta)(1/T - 1/2)$$

Floquet theory for  $k_B T \gg \Delta$

$$I^{(nl)}(t) \rightarrow 0,$$

$$I(t) = \frac{e^2 \delta U}{h} T R^{N(t)}, \quad k_B T \gg \Delta, \quad \text{for } \mathcal{T} > t - t_0 > 0,$$

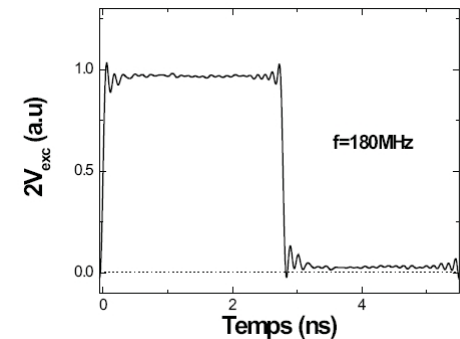
$N(t) = \lfloor (t - t_0)/\tau \rfloor$ , piecewise constant

long time  $t - t_0 \gg 0$ ,

$$I(t) \sim e^{-(t-t_0)/\tau_D}, \quad \text{with } \tau_D = h/(\Delta \ln(1/R)) \quad \text{nearly } \tau_D \approx \tilde{\tau},$$

Floquet theory for  $k_B T \ll \Delta$

$$I^{(nl)}(t) \neq 0,$$





# Summary

## Quantized charge relaxation resistance

For a single spin-polarized channel, self-consistent scattering theory predicts a **universal** charge relaxation resistance of half a resistance quantum

$$R_q = \frac{h}{2e^2}$$

A seminal experiment by Gabelli et al. supports this prediction

Role of dephasing

Role of charge quantization

Role of interactions

## Quantized dynamic charge emission and absorption

$$Im I_f = 2e f$$

Demonstrated in an experiment by Fève et al.

Accuracy

Noise